

Notice of References Cited

Application/Control No.

09/866,411

Applicant(s)/Patent Under
Reexamination
SKAANNING ET AL.

Examiner

Kelvin E Booker

Art Unit

2121

Page 1 of 8

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-2002/0128943	09-2002	Schreckengast et al.	705/36
	B	US-6,678,669	01-2004	Lapointe et al.	706/15
	C	US-6,535,865	03-2003	Skaanning et al.	706/52
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Clemons, "Evolution of Strategic Investments in Information Technology", Communications of the ACM, January 1991, Vol 34, Iss 1, pages 22-36.
	V	Konana et al., "The Implications of Online Investing", Communications of the ACM, January 2000, Vol 43, Iss 1, pages 34-41.
	W	Tseng et al., "Sensitive Sequential Myopic Information Gathering", HICSS, retrieved from the Internet: http://citeseer.ist.psu.edu/96112.html .
	X	Pennock et al., "Toward a Market Model for Bayesian Inference", Proceedings of the 12th Conference on AI, August 1996, pages 405-413.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited

Application/Control No.

09/866,411

Applicant(s)/Patent Under
Reexamination
SKAANNING ET AL.

Examiner

Kelvin E Booker

Art Unit

2121

Page 2 of 8

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Moses, "A Consideration of the Impact of Interactions with Module Effects on the Direct Measurement of Subjective Software Attributes", Proceedings-7th International Software Metrics Symposium, April 2001, pages 112-123.
	V	Scheerer et al., "Expert System Tools for Describing and Predicting the Coastal Ocean Environment", Proceedings-Oceans Engineering for Today's Technology and Tomorrow's Preservation, September 1994, Vol 2, pages II/11-II/16.
	W	Wilkins et al., "Collaborative Decision Making and Intelligent Reasoning in Judge Advisory Systems", Proceedings of the 32nd Hawaii International Conference on System Sciences, January 1999.
	X	Bargar et al., "Sonification of Probabilistic Belief Networks", 1998 IEEE International Conference on Systems, Man and Cybernetics, October 1998, Vol 1, pages 1020-1025.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited

Application/Control No.

09/866,411

Applicant(s)/Patent Under
Reexamination
SKAANNING ET AL.

Examiner

Kelvin E Booker

Art Unit

2121

Page 3 of 8

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Neil et al., "Using Bayesian Belief Networks to Predict the Reliability of Military Vehicles", Computing and Control Engineering Journal, February 2001.
	V	Ogunyemi et al., "Probabilistically Predicting Penetrating Injury for Decision Support", Proceedings of the 11th IEEE Symposium on Computer-Based Medical Systems, June 1998, pages 44-49.
	W	Gerevini et al., "Modeling the Treatment Scheme of Sustained Ventricular Tachycardia with a Bayesian Belief Network", September 1990, pages 585-588.
	X	Gur-Ali et al., "Induction of Rules Subject to a Quality Constraint: Probabilistic Inductive Learning", IEEE Transactions on Knowledge and Data Engineering, December 1993, Vol 5, Iss 6, pages 979-984.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited

Application/Control No.

09/866,411

Applicant(s)/Patent Under
Reexamination
SKAANNING ET AL.

Examiner

Kelvin E Booker

Art Unit

2121

Page 4 of 8

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Elomaa et al., "On Inducing Topologically Minimal Decision Trees", Proceedings of the 2nd International IEEE Conference on Tools for AI, November 1990, pages 746-752.
	V	Bradley et al., "On the Methodology for Comparing Learning Algorithms: A Case Study", Proceedings of the 1994 2nd Australia and New Zealand Conference on Intelligent Information Systems, November 1994, pages 37-41.
	W	Bandar et al., "An Optimised Decision Tree Induction Algorithm for Real World Data Domains", Proceedings-6th International Conference on Neural Information Processing, November 1999, Vol 2, pages 441-446.
	X	Baba et al., "Application of Techniques of Computational Intelligence for Constructing Reliable Decision Support Systems", International Joint Conference on Neural Networks, July 1999, Vol 6, pages 3856-3859.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited

Application/Control No.

09/866,411

Applicant(s)/Patent Under
Reexamination
SKAANNING ET AL.

Examiner

Kelvin E Booker

Art Unit

2121

Page 5 of 8

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)			
	U	Baba et al., "A User Friendly Decision Support System for Dealing Stocks Using Neural Network", Proceedings of the 1993 International Joint Conference on Neural Networks, October 1993, Vol 1, pages 762-765.			
	V	Green et al., "Artificial Intelligence in Financial Markets", IEEE International Conference on Neural Networks, November 1995, Vol 2, pages 839-844.			
	W	Gleizes et al., "An Adaptive Multi-Agent Tool for Electronic Commerce", Proceedings-IEEE 9th International Workshops on Enabling Technologies, June 2000, pages 59-66.			
	X	Kandt et al., "A Financial Investment Assistant", Proceedings of the 21st Annual Hawaii International Conference on System Sciences, January 1988, Vol 3, pages 510-517.			

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited

Application/Control No.

09/866,411

Applicant(s)/Patent Under
Reexamination
SKAANNING ET AL.

Examiner

Kelvin E Booker

Art Unit

2121

Page 6 of 8

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Kosaka et al., "Applications of Fuzzy Logic/Neural Network to Securities Trading Decision Support System", 1991 IEEE International Conference on Systems, Man and Cybernetics, October 1991, Vol 3, pages 1913-1918.
	V	Li et al, "Investment Decision Making Using FGP: A Case Study", Proceedings of the 1999 Congress on Evolutionary Computation, July 1999, Vol 2.
	W	Ornes et al., "A Neural Network that Explains as Well as Predicts Financial Market Behavior", Proceedings of the IEEE/IAFE 1997 Computational Intelligence for Financial Engineering, March 1997, pages 43-49.
	X	Wang et al., "Dynamic Trading Decision Support System Using Rule Selector Based on Genetic Algorithms", Proceedings of th 1996 IEEE Signal Processing Society Workshop on Neural Networks for Signal Processing, September 1996, pages 119-128.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

24

Notice of References Cited

Application/Control No.

09/866,411

Applicant(s)/Patent Under
Reexamination
SKAANNING ET AL.

Examiner

Kelvin E Booker

Art Unit

2121

Page 7 of 8

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Wang et al., "Stock Trading Decision Support System Using a Rule Selector Based on Sliding Window", 1997 IEEE International Conference on Systems, Man and Cybernetics, October 1997, Vol 1, pages 559-564.
	V	Hiemstra, "A Stock market Forecasting Support System Based on Fuzzy Logic", Proceedings of the 27th Annual Hawaii International Conference on System Sciences, January 1994, Vol 3, pages 281-287.
	W	Chou et al., "A Rule-Based Neural Stock Trading Decision Support System", Proceedings of the IEEE/IAFE 1996 Conference on Computational Intelligence for Financial Engineering, March 1996, pages 148-154.
	X	Baba et al., "Utilization of Neural Network for Constructing a User Friendly Decision Support System to Deal Stocks", IEEE International Conference on Neural Networks, November 1995, Vol 2, pages 818-823.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited

Application/Control No.

09/866,411

Applicant(s)/Patent Under
Reexamination
SKAANNING ET AL.

Examiner

Kelvin E Booker

Art Unit

2121

Page 8 of 8

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Moon et al., "An Intelligent Decision Support System for Stock Investment", 4th IEEE Region 10 International Conference, November 1989, pages 956-959.
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.